Precision effective number of neutrinos N_{eff}:

Yvonne Y. Y. Wong UNSW Sydney

Vikram discussions on neutrino astrophysics, PRL, March 20, 2025

Concordance *A*CDM...

The simplest model consistent with most observations.



Neutrino-to-photon energy density ratio



Formation of the $C\nu B...$

Expansion rate: $H \sim M_{\rm pl}^{-2} T^2$

Interaction rate: $\Gamma_{\text{weak}} \sim G_F^2 T^5$

The CvB is formed when neutrinos decouple from the cosmic plasma.





Neutrinos "free-stream" to infinity.

Above $T \sim 1$ MeV, even the Weak Interaction occurs efficiently enough to allow neutrinos to scatter off e^+e^- and other neutrinos, and attain thermodynamic equilibrium. **Below** $T \sim 1$ MeV, expansion dilutes plasma, and reduces interaction rate: the universe becomes transparent to neutrinos.

The cosmic neutrino background...

Standard model predictions



Per family of

Effective number of neutrinos...

A common practice is to express the neutrino-to-photon energy density ratio in terms of the **effective number of neutrino** N_{eff} parameter.

$$\sum_{i} \rho_{\nu,i} = N_{\text{eff}} \times \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \rho_{\gamma}$$

The SM value is $N_{\rm eff}^{\rm SM} = 3.0440 \pm 0.0002$, for

- 3 families of neutrinos + antineutrinos
- A variety of %-level SM effects that alter **both** $\rho_{\nu,i}$ and ρ_{γ} from their naïve expectations.

Energy density in one thermalised species of massless fermions with 2 internal d.o.f. and temperature $T_{\nu} = \left(\frac{4}{11}\right)^{1/3} T_{\gamma}$.

Extending $N_{\rm eff}$ to light BSM thermal relics...

Any light (~sub-eV mass), feebly-interacting particle species produced by scattering in the early universe will look sort of like a neutrino as far as cosmology is concerned.

- E.g., light sterile neutrinos, thermal axions, ...
- At leading order, these **light thermal relics** add to the SM neutrino energy density as if $N_{\rm eff} \gtrsim 3$.

 \rightarrow Re-interpret $N_{\rm eff}$ as the early-time non-photon radiation content:

$$\sum_{i} \rho_{\nu,i} + \rho_{\text{other}} = N_{\text{eff}} \times \frac{7}{8} \left(\frac{4}{11}\right)^{4/3} \rho_{\gamma}$$
$$N_{\text{eff}} = N_{\text{eff}}^{\text{SM}} + \Delta N_{\text{eff}}$$

Why is $N_{\rm eff}$ interesting?

We **cannot detect the C\nuB** in the lab. But we can discern its presence from its impact on the events that take place after its formation.



CMB anisotropies



N_{eff} (expansion rate) Interactions (free-streaming) Lifetime (free-streaming)

Large-scale matter distribution



 $\sum m_{
u}$ (perturbation growth)

$N_{\rm eff}$ and nucleosynthesis...

Primordial nucleosynthesis takes place at $T \sim O(100) - O(10)$ keV, shortly after neutrino decoupling.

- Changing the expansion rate affects the production of **all** light elements.
- The largest effect is on He4, because
 - Almost all neutrons end up in He4.
 - The neutron-to-proton ratio depends strongly on how expansion affects the βprocesses:

$$v_e + n \leftrightarrow p + e^-$$

 $\bar{v}_e + p \leftrightarrow n + e^+$



Kawasaki, Kohri, Moroi & Takaesu 2018

$N_{\rm eff}$ and nucleosynthesis...

Primordial nucleosynthesis takes place at $T \sim O(100) - O(10)$ keV, shortly after neutrino decoupling.

- Changing the expansion rate affects the production of **all** light elements.
- The largest effect is on He4, because
 - Almost all neutrons end up in He4.
 - The neutron-to-proton ratio depends strongly on how expansion affects the βprocesses:

$$v_e + n \leftrightarrow p + e^-$$

 $\bar{v}_e + p \leftrightarrow n + e^+$



Pitrou, Coc, Uzan & Vangioni 2018

$N_{\rm eff}$ and the CMB anisotropies...

 $N_{\rm eff}$ also affects the **expansion rate at recombination** ($T \sim 0.2 \ eV$), observable in the CMB temperature power spectrum



$N_{\rm eff}$ and the CMB anisotropies...

 $N_{\rm eff}$ also affects the **expansion rate at recombination** ($T \sim 0.2 \, {\rm eV}$), observable in the CMB temperature power spectrum



What to expect in the future?

Future



John Carlstrom

What to expect in the future?





Motivated by these future sensitivities to N_{eff} , we have dedicated a series of papers on computing the Standard-Model value N_{eff}^{SM} accurate to at least three decimal places.

- What goes into the calculation of $N_{\rm eff}^{\rm SM} = 3.0440 \pm 0.0002$
- What other effects have been considered
- What remains to be done

Precision theoretical calculation of the Standard-Model N_{eff}^{SM} ...

The papers...

Towards a precision calculation of N_{eff} in the Standard Model:

- 1. The QED equation of state, *JCAP* 03 (2020) 003 [arXiv:1911.04504].
- 2. Neutrino decoupling in the presence of flavour oscillations and finite temperature QED, *JCAP* 04 (2021) 073 [axXiv:2012.02726]; Benchmark
- Improved estimate of NLO contributions to collision integrals, JCAP 06 (2024) 032 [arXiv:2402.18481].
- 4. Impact of positronium formation, arXiv:2411.14091.
- 5. More collision integral @ NLO, in prep.

The team...

Leadership:

• Yvonne Wong, Marco Drewes, Michael Klasen (since 2024)

Our students and postdocs:

- UNSW: Giovanni Pierobon (4), Jack Bennett (1,2)
- UCLouvain: Yannis Georis (3, 4, 5), Gilles Buldgen (1,2)
- Münster: Adrian Finke (5), Luca Wiggering (3,5)

Friends with special tools:

- IFIC Valencia: Sergio Pastor (2), Stefano Gariazzo (2), Pablo de Salas (2)
- TU Munich: Tobias Binder (4)

Also recent works by others...

Comparable to our benchmark paper 2 including correction from our paper1:

- Akita & Yamaguchi, JCAP 08 (2020) 012 [arXiv:2005.07047].
- Froustey, Pitrou & Volpe, JCAP 12 (2020) 015 [arXiv:2008.0107].

Collision integral @ NLO; comparable to our papers 3 and 5:

- Cielo, Escudero, Magano & Pisanti, PRD 108 (2023) L121301 [arXiv:2306.05460].
- Jackson & Laine, JHEP 05 (2024) 089 [arXiv: 2312.07015]; arXiv: 2412.03958.

Anisotropic neutrino forward scattering:

• Hansen, Shalgar & Tamborra, JCAP 07 (2021) 017 [arXiv:2012.03948].

Precision N_{eff}^{SM} : an old subject...

	Refs.	Notes	$N_{ m eff}$		
1982	1, 2	• finite-temperature radiative corrects to neutron-to-proton rates			
		• average cross sections to estimate neutrino production during e^{\pm} annihilation			
	[3, 4]	• relaxation-time formalism to calculate changes in neutrino temperature post-weak- decoupling	3.024 3 3.022 4		
	5	• coupled set of Boltzmann equations in weak decoupling	3.022		
		• Maxwell-Boltzmann statistics			
		• solves for a change in the neutrino temperature and a first-order change to the neutrino distribution functions			
	[11, 12]	 perturbative approach solving Boltzmann equations using a series of orthogonal poly- nomials to describe perturbations from a FD neutrino spectrum 	3.035		
	6	• coupled set of Boltzmann equations in weak decoupling using FD statistics (cf., Ref 5)	3.017 or		
		• solves for a change in the neutrino temperature and a general neutrino distribution function	3.027		
	8	• solves coupled Boltzmann equations by binning the neutrino distribution function	3.022		
		\bullet pseudo-logarithmic binning scheme: 40 linearly-spaced bins per decade ranging from $10^{-5.5} \le p/T_{\rm cm} \le 10^{1.7}$			
		 employ unique numerical scheme that does not require calculation of the full Jacobian matrix – more efficient than standard adaptive RK5 scheme by a factor of 20-60 			
	DHS [7, 9]	• solves coupled Boltzmann equations by binning the neutrino distribution function	3.034		
		• 100 linearly-spaced bins between $0 \le p/T_{\rm cm} \le 20$			
		• includes convergence studies regarding binning of neutrino spectrum and ODE solver			
	10, 44, 45	• introduces QED corrections to electron and photon dispersion relations	3.011 10		
	[12]	 no Boltzmann evolution, just conservation of comoving entropy includes QED corrections to the perturbative approach with orthogonal polynomials described above for Ref. [12] 	3.0395		
	14	• includes QED corrections to the perturbative approach with orthogonal polynomials	3.044		
		 assumes neutrino spectra in thermal equilibrium, but not necessarily in chemical equi- librium 			
↓ ↓		• uses a different set of orthogonal polynomials as compared with Ref. [12]			
2005	13	• includes QED corrections along with solving Boltzmann equations by binning the neutrino distribution function	3.046		
2005		• improved numerical technique as compared to Ref. 12			

Grohs et al. 2016

3.052??



g_* of the standard model of particle physics:

Particle content at 0.1 < T < 10 MeV...

The particle content and interactions at 0.1 < T < 10 MeV determine the properties of the $C\nu B$.

• QED plasma:
$$e^{\pm}$$
, γ

EM interactions (always in equilibrium @ 0.1 < T < 10 MeV):

• 3 families of
$$v + \overline{v}$$
: $v_{\mu}, \overline{v}_{\mu}, v_{\mu}, \overline{v}_{\mu}, v_{\tau}, \overline{v}_{\tau}$

Weak interactions (in equilibrium @ T > O(1)MeV):

$$e^{+}e^{-} \leftrightarrow \gamma\gamma$$

$$e^{+}e^{-} \leftrightarrow e^{+}e^{-}$$

$$e^{\pm}e^{\mp} \leftrightarrow e^{\pm}e^{\mp}$$

$$e^{\pm}e^{\pm} \leftrightarrow e^{\pm}e^{\pm}$$

$$v_{\alpha}e^{\pm} \leftrightarrow v_{\alpha}e^{\pm}$$

$$v_{\alpha}\bar{v}_{\beta} \leftrightarrow v_{\alpha}\bar{v}_{\beta}$$

$$u_{\alpha}\bar{v}_{\beta} \leftrightarrow v_{\alpha}\bar{v}_{\beta}$$

$$v_{\alpha}\bar{v}_{\beta} \leftrightarrow v_{\alpha}\bar{v}_{\beta}$$

$$\bar{v}_{\alpha}\bar{v}_{\beta} \leftrightarrow v_{\alpha}\bar{v}_{\beta}$$

$$\bar{v}_{\alpha}\bar{v}_{\alpha}\bar{v}_{\beta} \leftrightarrow v_{\alpha}\bar{v}_{\beta}$$

$$\bar{v}_{\alpha}\bar{v}_{\beta} \leftrightarrow v_{\alpha}\bar{v}_{\beta}$$

Particle content at 0.1 < T < 10 MeV...

The particle content and interactions at 0.1 < T < 10 MeV determine the properties of the CvB.

• QED plasma:
$$e^{\pm}$$
, γ

EM interactions (always in equilibrium @ 0.1 < T < 10 MeV):

• 3 families of $v + \overline{v}$: $v_e, \overline{v}_e, v_{\mu}, \overline{v}_{\mu}, v_{\mu}, \overline{v}_{\mu}, v_{\tau}, \overline{v}_{\tau}$

Weak interactions (**not** in equilibrium @

0.1 < T < 10 MeV): $e^+e^- \leftrightarrow \gamma\gamma$ $e^+e^- \leftrightarrow e^+e^$ $e^\pm e^\mp \leftrightarrow e^\pm e^\mp$ $e^\pm e^\pm \leftrightarrow e^\pm e^\pm$ $pe^\pm e^\pm \leftrightarrow e^\pm e^\pm$ $\gamma e^\pm \leftrightarrow \gamma e^\pm$ $\gamma e^\pm (1) MeV$ $T \ll O(1) MeV$ $\gamma a \gamma a \leftrightarrow \gamma a \gamma a \rightarrow \gamma a \gamma a \rightarrow \gamma a \gamma a \rightarrow \gamma a \rightarrow$

Thermal history of neutrinos...





g_* of the standard model of particle physics:

Thermal history of neutrinos...



T_{ν} from comoving entropy conservation...



Taking $T_{\nu} = (4/11)^{1/3}T_{\gamma}$, we expect the **neutrino-to-photon energy** density ratio at low temperatures $(T_{\gamma} \ll m_e)$ to be:



Precision N_{eff}...

In reality, the neutrino-to-photon energy density ratio is about a percent higher than the naïve estimate:



Conventionally, we into the $N_{\rm eff}$ parameter.

Deviations from 3 due to:

- Non-instantaneous neutrino decoupling
- Neutrino flavour oscillations
- Non-relativistic electron gas across neutrino decoupling
- Non-ideal gas corrections to the photon/electron plasma



Correction to ρ_{ν} ...

Deviations, or what's wrong with this picture?

Decoupling

Neither neutrino decoupling nor annihilation is instantaneous → some energy of the annihilation is transferred to the high-energy neutrinos still coupled.



Tracking non-instantaneous decoupling...

The effect of an out-of-equilibrium interaction on a particle species can be tracked using the Boltzmann equation.



Tracking decoupling including oscillations...

Tracking neutrino decoupling is complicated by neutrino oscillations.

• We promote the classical Boltzmann equation for the phase space density to a quantum kinetic equation (QKE) for the density matrix of the neutrino ensemble.



Diagonal ~ occupation numbers Off-diagonal ~ oscillation phases

Vacuum oscillations + matter effects ("thermal masses")

Interactions at 0.1 < T < 10 MeV...

The particle content and interactions at 0.1 < T < 10 MeV determine the properties of the CvB.



Collision integrals @ LO...

Weak annihilation and scattering rates are currently computed to leading order in G_F , i.e., $O(G_F^2)$.

- These have been incorporated in the quantum kinetic equations, which are solved with full momentum dependence plus quantum statistics in our benchmark paper 2 using a dedicated decoupling code FortEPiaNO. Gariazzo, de Salas & Pastor 2019
- What about higher-order contributions?

Collision integrals @ NLO...

There has been some recent interest in computing QED corrections (T = 0 + finite-temperature) to the **weak annihilation and scattering rates**.

• Mainly motivated by claims of a large $|\delta N_{\rm eff}| \sim 0.001$ from these corrections.

Cielo, Escudero, Mangano & Pisanti 2023

• However, a much smaller $|\delta N_{\rm eff}| \lesssim 10^{-5}$ was found in independent works.

Jackson & Laine 2023, 2024 Drewes, Georis, Klasen, Wiggering & Y³W 2024



Probably the fairest thing to say at this stage is that there is as yet no complete calculation of the effect of NLO weak rates on N_{eff} .

	Correction	First principles	Finite m _e	Quantum stats	Solve QKEs
Paper 2 (benchmark)	Type a only	No; modelled as a thermal electron mass	Yes	Yes	Yes
Cielo et al.	Types a-c only	No; mapped from stellar plasma calculations	Yes	No	In some approximation
Jackson & Laine	All	Yes	No; Hard Thermal Loop approximation	Yes	No
Paper 3	Type d only	Yes	Yes	Yes	Damping approximation

• Paper 5 (in prep) will hopefully fix that. Stay tuned!

Correction to ρ_{γ} ...

Deviations, or what's wrong with this picture?



Non-relativistic (m_e/T_d) correction to N_{eff} ...

Change in N_{eff}:

- Even just allowing for a finite m_e in the QED plasma entropy will yield a large change $\delta N_{\rm eff} \sim 0.04$.
- This m_e/T_d correction to the CvB temperature is in fact the dominant correction to $N_{\rm eff}^{\rm SM}$.



Neutrino decoupling temperature

Non-ideal gas corrections from interactions...

The particle content and interactions at 0.1 < T < 10 MeV determine the properties of the CvB.

• QED plasma:
$$e^{\pm}$$
, γ

EM interactions (always in equilibrium @ 0.1 < T < 10 MeV):

$$e^{+}e^{-} \leftrightarrow \gamma\gamma$$

$$e^{+}e^{-} \leftrightarrow e^{+}e^{-}$$

$$e^{\pm}e^{\mp} \leftrightarrow e^{\pm}e^{\mp}$$

$$e^{\pm}e^{\pm} \leftrightarrow e^{\pm}e^{\pm}$$

$$\gamma e^{\pm} \leftrightarrow \gamma e^{\pm}$$
We

Deviations from an ideal gas described by thermal QED

Coupled @ T > O(1)MeV $\nu_{\alpha} e^{\pm} \leftrightarrow \nu_{\alpha} e^{\pm}$ $\nu_{\alpha} \bar{\nu}_{\alpha} \leftrightarrow e^{+} e^{-}$

• 3 families of $v + \overline{v}$: $v_{\mu}, \overline{v}_{\mu}, v_{\mu}, \overline{v}_{\mu}, v_{\tau}, \overline{v}_{\tau}$

Weak interactions (in equilibrium @ T > O(1)MeV): $\nu_{\alpha}\nu_{\beta} \leftrightarrow \nu_{\alpha}\nu_{\beta}$ $\nu_{\alpha}\bar{\nu}_{\beta} \leftrightarrow \nu_{\alpha}\bar{\nu}_{\beta}$ $\alpha, \beta = e, \mu, \tau$ $\bar{\nu}_{\alpha}\bar{\nu}_{\beta} \leftrightarrow \bar{\nu}_{\alpha}\bar{\nu}_{\beta}$

Weak interactions (in equilibrium @ T > O(1)MeV)

Finite-temperature QED...

Lowest-order correction of the QED partition function $\ln Z^{(2)} = -\frac{1}{2}$

Interactions of e^{\pm} , γ modify the QED plasma away from an ideal gas.

+ EM interactions

Ideal gas



Energy = kinetic energy + rest mass

Pressure = from kinetic energy



T-dependent dispersion relation + Forces

Energy = modified kinetic energy + T-dependent masses + interaction potential energy Pressure = from modified kinetic energy + EM forces Modified QED equation of state

Finite-temperature effects on the QED EoS...

Finite-temperature corrections to the **QED partition function** at fixed order in the electric charge *e* are well known.

• These can be easily implemented in the continuity equation to describe the energy density evolution in the QED sector:

• The general outcome is a faster decrease of the photon temperature with expansion, leading to a larger N_{eff} .

FTQED EoS correction to $N_{\rm eff}$...

Bennett, Buldgen, Drewes & Y³W 2020



Neutrino decoupling temperature

Summary of corrections so far...

Leading contribution from various effects on N_{eff}^{SM} :

Leading contribution	
$ \begin{array}{r} +0.04 \\ +0.01 \\ -0.006 \\ -0.001 \\ +0.0005 \\ < 10^{-4} \\ \end{array} $	Accounted for in benchmark calculation $N_{\rm eff}^{\rm SM} = 3.0440 \pm 0.00$
$ \frac{\sim}{\lesssim} \frac{10^{-5}}{10^{-5}} \\ \sim -(10^{-6} - 10^{-5}) \\ \frac{3.5 \times 10^{-6}}{\sim} -10^{-7} $	
$\pm 0.0001 \\ \pm 0.0001$	
-	Leading contribution +0.04 +0.01 -0.006 -0.001 +0.0005 $\leq 10^{-4}$ $\leq 10^{-5}$ $\sim -(10^{-6} - 10^{-5})$ 3.5×10^{-6} $\sim -10^{-7}$ ± 0.0001 ± 0.0001

0.0002

Where to now?

Leading contribution from various effects on N_{eff}^{SM} :





Accounted for in benchmark calculation

 $N_{\rm eff}^{\rm SM} = 3.0440 \pm 0.0002$

Complete assessment of types (b-c) corrections; paper 5 (in prep)

Numerical solution by FortEPiaNO	± 0.0001
Input solar neutrino mixing angle θ_{12}	± 0.0001

Summary...

Cosmological observables such as the CMB and light element abundances can be very sensitive to light relics.

- Their energy density is quantified by their contributions to the "effective number of neutrinos" $N_{\rm eff} = N_{\rm eff}^{\rm SM} + \Delta N_{\rm eff}$, where $N_{\rm eff}^{\rm SM} \approx 3$ is the Standard-Model expectation.
- CMB measurements currently constrain $N_{\rm eff}$ to 10% uncertainty.
 - In the future, percent-level precision measurements are possible.
- In light of this potential improvement, we have performed a **new precision theoretical calculation** of the Standard Model expectation, $N_{\text{eff}}^{SM} = 3.0440 \pm 0.0002$; the new value has already been implemented in the latest releases of CLASS and CAMB.